PCORI Guidance for Disclosure of Financial or Business Associations and Personal Associations for Peer Reviewers

A. Guidance: "Financial or business associations" should be disclosed in response to these statements:

1. Disclose any organization at which you are currently employed and receiving salary or other financial benefits.

2. Disclose any financial benefits you receive from any health or healthcare-related organizations, including financial benefits received from PCORI, such as for grants and awards, outside research, consulting fees, royalties, teaching or writing.

3. Disclose any shares of stock or other investment interests you own in any firm that provides health or healthcare-related products or services. You do not need to disclose mutual funds that are healthcare-related.

4. To the best of your knowledge, disclose any financial relationship with any vendor or other third party with whom PCORI has contracted or which PCORI has funded.

5. To the best of your knowledge, other than mutual funds, disclose the name of stock or other investment interests your spouse/domestic partner, your parents (including in-laws), your children, or your siblings own in any firm that provides health or healthcare-related products or services.

6. To the best of your knowledge, disclose whether your spouse/domestic partner, your parents (including in-laws), your children, or your siblings is employed by a health or health-care related organization. If so, disclose the name of his/her employer, their job title and your relationship.

7. To the best of your knowledge, disclose whether your spouse/domestic partner, your parents (including in-laws), your children, or your siblings have a financial relationship with any vendor or other third party with whom PCORI has contracted or which PCORI has funded.

B. Guidance: "Personal associations" should be disclosed in response to these statements:

1. Disclose the health or healthcare-related organizations of which you are a member, serve on its Board, actively volunteer your services or assist in any way.

2. Disclose any health or healthcare organization for which you previously worked within the past 12 months.

3. Disclose any individuals or organizations with which you have a personal association that might benefit in any way from PCORI decisions and/or activities.

4. To the best of your knowledge, disclose any health or healthcare-related organizations that
your spouse/domestic partner, your parents (including in-laws), your children, or your siblings are a member of, serve on its Board, actively volunteer his/her services or assist in any way.

5. To the best of your knowledge, disclose the individuals or organizations that your spouse/domestic partner, your parents (including in-laws), your children, or your siblings have a personal association with that might benefit in any way from PCORI decisions and/or activities.

C. **Practical Considerations** for identifying appropriate disclosures:

1. Review COI disclosures provided previously to PCORI or any other healthcare-related organization, such as journals, professional societies, research projects or your employer.

2. Additionally, those who are healthcare providers who may have received payments or other transfers of value that were reported by pharmaceutical or device manufacturers (“industry”) under the Physician Payments Sunshine Act (“Sunshine Act”), consider checking the reports made by the pharmaceutical and industry device manufacturers under the Sunshine Act. Note that the disclosures made by industry under the Sunshine Act may not relate to disclosures appropriate for PCORI, but may serve as a useful reminder or tool for completing your PCORI COI disclosure.